AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BREYER, STEPHEN G.	**2. Court or Organization** U.S. SUPREME COURT	**3. Date of Report** 05/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOC. JUSTICE SUPREME COURT	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2016 to 12/31/2016
7. Chambers or Office Address SUPREME COURT OF UNITED STATES 1 FIRST STREET, N.E. WASHINGTON, D.C. 20543		

IMPORTANT NOTES:* *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	TRUSTEE (HONORARY)	DANA-FARBER CANCER INSTITUTE
2.	JUROR	THE PRITZKER ARCHITECTURE PRIZE
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	PENGUIN RANDOM HOUSE LLC.; ROYALTY INCOME	$44,942.35
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. INSTITUT FRANCAIS	JANUARY 26-29, 2016	PARIS, FRANCE	NUIT DES IDEES (NIGHT OF IDEAS) EVENT	ROUND-TRIP AIRFARE, & MEAL
2. HARVARD LAW SCHOOL	JANUARY 25, 2016	CAMBRIDGE, MA	BOOK EVENT	ONE-WAY AIRFARE
3. PALM BEACH BAR ASSOC & FORUM CLUB OF PALM BEACH	FEBRUARY 12, 2016	PALM BEACH, FL	BOOK EVENT	ONE-HALF AIRFARE EACH WAY, LODGING, & MEAL
4. YALE LAW SCHOOL	FEBRUARY 17, 2016	NEW HAVEN, CT	ANNUAL BRENNAN LECTURE	ONE-HALF TRAIN FARE, LODGING & MEAL

5. GLOBAL CENTER ON COOPERATIVE SECURITY	MARCH 10, 2016	NEW YORK, NY	"THE EFFECTIVE ADJUDICATION OF TERRORISM CASES" PANEL AT THE UN	ROUND-TRIP AIRFARE
6. PRITZKER FOUNDATION	APRIL 2-6, 2016	NEW YORK, NY	PRITZKER ARCHITECTURE CEREMONY AND DINNER	ONE-WAY TRAIN FARE, RETURN AIRFARE, LODGING, AND MEALS
7. AMERICAN UNIVERSITY IN PARIS	APRIL 29 - MAY 5, 2016	PARIS, FRANCE	HONORARY DEGREE AWARDED TO JUSTICE BREYER	ROUND-TRIP AIRFARE
8. AMERICAN PSYCHIATRIC ASSOCIATION	MAY 17, 2016	ATLANTA, GA	KEYNOTE SPEECH	ROUND-TRIP AIRFARE
9. PRITZKER FOUNDATION	APRIL 2-6, 2016	NEW YORK, NY	PRITZKER ARCHITECTURE CEREMONY AND DINNER	ONE-WAY TRAIN FARE, RETURN AIRFARE, LODGING, AND MEALS
10. NY CITY BAR ASSOCIATION, BINGHAM CENTRE FOR THE RULE OF LAW; INTL RULE OF LAW PROJ.	JUNE 16, 2016	NEW YORK, NY	DISCUSSION	ONE-WAY AIRFARE, ONE-WAY TRAIN FARE, & MEAL
11. AMERICAN DITCHLEY FOUNDATION	JULY 6-10, 2016	LONDON, UNITED KINGDOM	SPEAKING AT DITCHLEY FOUNDATION ANNUAL LECTURE IN UK	ROUND-TRIP AIRFARE (SELF & SPOUSE), LODGING
12. SUN VALLEY AUTHOR'S FORUM	JULY 12-15, 2016	SUN VALLEY, ID	PARTICIPATE IN DISCUSSIONS AND BOOK TALK	ROUND-TRIP AIRFARE (SELF & SPOUSE), LODGING, & MEALS
13. GENERAL SERVICES ADMINISTRATION	SEPTEMBER 8, 2016	WASHINGTON, DC	KEYNOTE SPEAKER ON THE BOSTON COURTHOUSE BUILDING/ ARCHITECTURE	ROUND-TRIP AIRFARE
14. AMERICAN COLLEGE OF TRIAL LAWYERS	SEPTEMBER 16-20, 2016	PHILADELPHIA, PA	UK-US LEGAL EXCHANGE	ONE-WAY AIRFARE, ONE-WAY TRAIN FARE, LODGING, & MEALS
15. KNOPF & SPEAKERS SERIES	SEPTEMBER 26-28, 2016	BALTIMORE, MD; BOSTON, MA; PORTSMOUTH, NH	BOOK EVENTS	DINNER
16. NEW CHINA CENTER AT YALE	SEPTEMBER 30, 2016	NEW HAVEN, CT	PANEL DISCUSSIONS	ONE-WAY AIRFARE, ONE-WAY TRAIN FARE, LODGING, & MEAL
17. KNOPF/92ND STREET Y	OCTOBER 5, 2016	NEW YORK, NY	BOOK EVENT	ONE-WAY TRAIN FARE, RETURN AIRFARE, LODGING, & MEALS
18. DELAWARE STATE BAR ASSOCIATION	NOVEMBER 3, 2016	WILMINGTON, DE	BOOK RELATED TALK BEFORE DELAWARE SUPREME COURT AND DE STATE BAR ASSOC.	ROUND-TRIP TRAIN FARE & LUNCHEON
19. PRITZKER ORGANIZATION	NOVEMBER 11-21, 2016	SPAIN & FRANCE	ARCHITECTURE PRIZE BOARD MEMBER FLY-AROUND	ROUND-TRIP AIRFARE, LODGING, & MEALS

20. KNOPF/DALLAS WORLD AFFAIRS COUNCIL	DECEMBER 12-13, 2016	DALLAS & FT. WORTH, TX	BOOK EVENTS	ROUND-TRIP AIRFARE, LODGING, & MEALS

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT:									
2. DEUTSCHE (FKA DEAWM) CORE PLUS INCOME FUND	A	Dividend	K	T					
3. DEUTSCHE (FKA DEAWM) LARGE CAPITAL VALUE FUND	B	Dividend			Merged (with line 4)	12/09/16	L		
4. DEUTSCHE CROCI US FUND-S	A	Dividend	L	T	Open	12/09/16	L		
5. OTHER HOLDINGS:									
6. DEUTSCHE (FKA DEAWM) CORE PLUS INCOME FUND - IRA	A	Dividend	K	T					
7. BANK OF AMERICA - CHECKING	A	Interest	L	T					
8. TIAA/CREF:									
9. TIAA/CREF - TIAA TRADITIONAL	E	Int./Div.	O	T					
10. TIAA/CREF - TIAA REAL ESTATE	C	Int./Div.	L	T					
11. TIAA/CREF - CREF BOND MARKET R3	C	Int./Div.	M	T					
12. TIAA/CREF - CREF STOCK R3	G	Int./Div.	P1	T					
13. TIAA/CREF - CREF INF-LINKED BOND R3	E	Int./Div.	N	T					
14. TIAA/CREF - CREF STOCK R1	D	Int./Div.	L	T					
15. TRUST:									
16. TAI SHAN FUND	B	Int./Div.	L	T					
17. VANGUARD WINDSOR FUND (FKA WINDSOR FUND)	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. AUTOMATIC DATA PROCESSING INC COMMON STOCK	C	Dividend	M	T					
19. CINTAS CORP. COMMON STOCK	B	Dividend	M	T					
20. SYSCO CORP. COMMON STOCK	C	Dividend	M	T					
21. VANGUARD 500 INDEX FUND	D	Dividend	N	T					
22. APPLIED ANALYSIS INC - COMMON STOCK		None	J	T					
23. APPLIED ANALYSIS INC - PREFERRED STOCK		None	J	T					
24. VANGUARD DIVIDEND GROWTH FUND -IV	D	Dividend	M	T					
25. VANGUARD MID-CAP INDEX FUND -A	B	Dividend	M	T					
26. DODGE & COX INTERNATIONAL STOCK FUND	C	Dividend	L	T					
27. ROYCE LOW-PRICED STOCK SERVICE FUND	C	Dividend	K	T					
28. OAKMARK INTERNATIONAL FUND - I	B	Dividend	L	T					
29. FEDERATED US TREASURY CASH RSV FD-I	A	Dividend	L	T					
30. VANGUARD SMALL CAP INDEX-INV	A	Dividend	K	T					
31. FIDELITY MA MUNICIPAL INCOME	A	Dividend	K	T					
32. US TREASURY NOTES 1.875% 8/31/17	A	Interest	K	T	Sold (part)	07/11/16	L	A	
33.					Sold (part)	09/06/16	J	A	
34. US TREASURY NOTES 1.750% 5/31/16	A	Interest			Sold	05/31/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. FASTENAL CO.	B	Dividend	K	T					
36. US TREASURY NOTES 1.375% 6/30/18	A	Interest	N	T	Buy	08/15/16	N		
37. US TREASURY NOTES 1.500% 5/31/19	A	Interest	M	T	Buy	06/02/16	M		
38.					Buy (add'l)	08/15/16	N		
39.					Sold (part)	09/29/16	M	A	
40. OTHER ASSETS:									
41. TIAA/CREF RETIREMENT ACCOUNTS:									
42. TIAA/CREF - TIAA TRADITIONAL	C	Int./Div.	L	T					
43. TIAA/CREF - CREF STOCK R2	A	Int./Div.	L	T					
44. SK-N-A NATIONAL BANK SAVINGS ACCT	A	Interest	J	T					
45. PEARSON PLC ORD. STOCK	E	Dividend	O	T					
46. RENTAL PROPERTY - NEVIS WEST INDIES	A	Rent	N	W					
47. TOTAL SA SPONSORED ADR	B	Dividend			Sold (part)	06/21/16	K	D	
48.					Sold	09/08/16	K	D	
49. LAND IN CONCORD, MA		None			Sold	08/09/16	O	G	Neil and Anna Rasmussen
50. LAND IN PLAINFIELD, NH		None	N	W					
51. IBM - COMMON STOCK		None			Sold	01/20/16	K	D	

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Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	05/09/2017

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52. LOWES COMPANIES INC - COMMON STOCK	B	Dividend	L	T					
53. PACCAR INC - COMMON STOCK	C	Dividend	M	T					
54. UNITED TECHNOLOGIES CORP - COMMON STOCK	C	Dividend	M	T					
55. CISCO SYSTEMS INC - COMMON STOCK	B	Dividend	L	T					
56. AIR PRODUCTS & CHEMICAL INC - COMMON STOCK	A	Dividend	K	T					
57. SSGA MONEY MARKET FUND	A	Dividend	J	T					
58. LEXINGTON MA GO 4% 2/15/21	B	Interest	M	T					
59. EMC CORP MASS - COMMON STOCK	A	Dividend			Sold	04/04/16	L	E	
60. STANCROFT TRUST LIMITED	E	Dividend	O	W					
61. FIRST CARIBBEAN INTERNATIONAL BANK - CHECKING		None	K	T					
62. EATON VANCE LARGE CAP GROWTH FUND - I	D	Dividend	N	T					
63. EATON VANCE LARGE CAP VALUE FUND - I	C	Dividend	N	T					
64. EATON VANCE TAX M S/C	C	Dividend	L	T					
65. EATON VANCE ATLANTA SM CP-I	C	Dividend	L	T					
66. VERSUM MATERIALS INC.	A	Distribution	J	T	Spinoff (from line 56)	10/03/16	J		
67. DFCI RETIREMENT PLAN (401A) (FIDELITY INVESTMENTS) - NO CONTROL	A	Int./Div.	K	T					

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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE REPORT WAS PREPARED BY:

CITRIN COOPERMAN & COMPANY, LLP
529 FIFTH AVENUE
NEW YORK, NEW YORK 10017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ STEPHEN G. BREYER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544