AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ginsburg, Ruth B.	**2. Court or Organization** Supreme Court of the United States	**3. Date of Report** 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2016 to 12/31/2016

7. Chambers or Office Address

Supreme Court of the United States
One First Street, NE
Washington, DC 20543

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust Article Fourth U/W Martin D. Ginsburg
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.	2016	International Creative Mangagement, Simon and Schuster, book royalties, "My Own Words"	$204,534.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Brandeis University	January 28-29, 2016	Boston, MA	Participant in Centennial Celebration of Louis Brandeis' Supreme Court Appointment	transportation, lodging, food
2.	European University Institute	January 30 - February 3, 2016	Florence, Italy	Participant in EUI Florence program	transportation, lodging, food
3.	New York City Bar Association	February 8, 2016	New York, NY	Participant in annual NY City Bar Ruth Bader Ginsburg Lecture	transportation, lodging, food
4.	Second Circuit	May 25-26, 2016	Mohonk Mountain, NY	Participant in Second Circuit Judicial Conference	transportation, lodging, food

5. New York University	July 18-23, 2016	Barcelona, Spain	Participant in NYU Conference in Barcelona	transportation, lodging, food
6. University of Venice	July 23-29, 2016	Venice, Italy	Participant in Mock Trial program	transportation, lodging, food
7. Loyola University Chicago	September 11, 2016	Chicago, IL	Participant in Tribute to Justice Scalia program	transportation, lodging, food
8. University of Notre Dame	September 12, 2016	South Bend, IN	Participant in conversational program	transportation, lodging, food
9. Fordham University	September 20, 2016	New York, NY	Participant in conversational program	transportation, lodging, food
10. Temple Emanu-El	September 21, 2016	New York, NY	Participant in conversational program	transportation, lodging, food
11. New York City Bar	October 25, 2016	New York, NY	Participant in conversational program	transportation, lodging, food
12. Second Circuit	October 26, 2016	New York, NY	Participant in Special Session of Second Circuit Court of Appeals	transportation, lodging, food

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking account-JP Morhan formerlyMorgan Guaranty Trust Co., NYC		None	K	T					
2. Checking account PNC Bank, DC	A	Int./Div.			Closed	10/21/16	J		
3. TIAA CREF Retirement Accounts (H)									
4. Columbia Universiy Retirement Plan (H)									
5. -TIAA Traditional	E	Interest	O	T	Distributed (part)	12/01/16	K		
6. NJ ABP Pre 1995 403(B) Plan (H)									
7. -TIAA Traditional	D	Interest	N	T	Distributed (part)	12/01/16	K		
8. Columbia University Voluntary Retirement (H)									
9. -TIAA Traditional	B	Int./Div.	L	T	Distributed (part)	12/01/16	J		
10. -TIAA Real Estate	D	Int./Div.	L	T	Distributed (part)	12/01/16	J		
11. -CREF Stock R3	D	Int./Div.	L	T	Distributed (part)	12/01/16	J		
12. -CREF Inf Linked Bond R3	A	Int./Div.	J	T	Distributed (part)	12/01/16	J		
13. TIAA CREF IRA #1 (H))									
14. -TIAA Traditional	A	Interest	J	T	Donated (part)				
15. =CREF Social Choice R1	A	Int./Div.	J	T	Donated (part)				
16. -TIAA Real Estate	A	Int./Div.	J	T	Donated (part)				
17. -CREF Bond Market R1	A	Interest	J	T	Donated (part)				

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -CREF Stock R1	A	Int./Div.	J	T	Donated (part)				
19. -TC Social Change EQ Ret	A	Int./Div.	J	T	Donated (part)				
20. -TC Intl EQ Ret	A	Int./Div.	J	T	Donated (part)				
21. -TC Mid Cap Val Ret	A	Int./Div.	J	T	Donated (part)				
22. -TC Lg Cap Val IDX Ret	A	Int./Div.	J	T	Donated (part)				
23. -TC Intl Eq Idx Ret	A	Int./Div.	J	T	Donated (part)				
24. -TC Sm Cap Eq Ret	A	Int./Div.	J	T	Donated (part)				
25. -TC Infl Lnkd Bond Ret	A	Interest	J	T	Donated (part)				
26. -TC Bond Ret	A	Interest	J	T	Donated (part)				
27. TIAA IRA # 2 (H)									
28. -TIAA Traditional	A	Interest	J	T	Donated (part)				
29. -CREF Social Choice R1	A	Int./Div.	J	T	Donated (part)				
30. -TIAA Real Estate	A	Int./Div.	J	T	Donated (part)				
31. -CREF Bond Market R1	A	Interest	J	T	Donated (part)				
32. -CREF Stock R1	A	Int./Div.	J	T	Donated (part)				
33. -TC Social Change EQ Ret	A	Int./Div.	J	T	Donated (part)				
34. -TC Intl EQ Ret	A	Int./Div.	J	T	Donated (part)				

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -TC Mid Cap Val Ret	A	Int./Div.	J	T	Donated (part)				
36. -TC Lg Cap Val IDX Ret	A	Int./Div.	J	T	Donated (part)				
37. -TC Intl Eq Idx Ret	A	Int./Div.	J	T	Donated (part)				
38. -TC Sm Cap Eq Ret	A	Int./Div.	J	T	Donated (part)				
39. -TC Infl Lnkd Bond Ret	A	Interest	J	T	Donated (part)				
40. -TC Bond Ret	A	Interest	J	T	Donated (part)				
41. TIAA CREF Mutual Funds (H)									
42. -TIAA CREF Midcap Growth Fund (X)	A	Dividend			Sold	06/09/16	O	E	
43. -TIAA CREF Short Term Bond Fund (X)	A	Dividend			Sold	06/09/16	L	B	
44. Fried Frank Pension (commenced on death of spouse)	G	Distribution	O	T					
45. JP Morgan Intermediate Tax Free Income Fund	D	Interest			Sold	12/27/16	O	A	
46. JP Morgan Equity Income	B	Dividend	M	T					
47. JP Morgan Market Expansion	B	Dividend	M	T					
48. JP Morgan Investment Cash	A	Interest	O	T					
49. JP Morgan Tax Aware Equity	B	Dividend	M	T					
50. JP Morgan Strategic Income Opportunity	D	Dividend	N	T					
51. JP Morgan Intrepid European Fund	C	Dividend	M	T					

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(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. JP Morgan Equity Focus Fund	A	Dividend	M	T					
53. JP Morgan Unconstrained Debt Fund formerly Multi Sector Income Fund	D	Dividend	M	T					
54. JP Morgan SH-INT Muni Bond Fund	D	Int./Div.			Sold	12/27/16	N	A	
55. SPDR S&P 500 ETF Trust	D	Dividend	M	T					
56. ISHARES Core S&P Mid Cap ETF	C	Dividend	M	T					
57. ISHARES MSCI EAFE Index Fund	B	Dividend	L	T					
58. JPM Global Res Enh Index Fund	C	Dividend	M	T					
59. DEUTSCHE X-TRACKERSMSCI EAF	B	Dividend	L	T					
60. DEUTSHE X-TRACKERS MSCI EUR	C	Dividend	L	T					
61. ISHARES MSCI JAPAN INDEX FUND	A	Dividend	K	T					
62. Trust Article 4th u/w/o Martin D. Ginsburg (beneficiary, trustee) (H)									
63. -TIAA CREF Mid Cap Growth	A	Int./Div.			Sold	01/19/16	K	A	
64. -TIAA CREF High Yield Fund	A	Int./Div.			Sold	06/13/16	K	A	
65. Met Life (X)	A	Dividend			Sold	7/13/16	J	A	
66. -JP Morgan Chase Checking		None	J	T					
67. -Wegoma 1974 Associates, New York, NY	E	Rent			Distributed	12/31/16	K		
68. Detroit MI SWR DISP 5.5% , 07/01/2036	A	Interest	L	T	Buy	12/29/16	L		

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69. Arnold, MO Sales Tax Incr Rev 7.75 %, 5/1/2027	A	Interest	K	T	Buy	12/30/16	K		
70. Milwaukee WI Swrage Rev 5%, 6/1/2019	A	Interest	L	T	Buy	12/29/16	L		
71. North Carolina Eastern Muni PWR Agency4.5%, 1/1/2024	A	Interest	L	T	Buy	12/29/16	L		
72. Wichita, KS WTR & SWR Util Rev 5%, 10/1/2024	A	Interest	L	T	Buy	12/30/16	L		
73. Bernstein DiversifiedMuni Portfolio Fund	B	Int./Div.	L	T	Buy	06/03/16	J		
74.					Buy (add'l)	06/09/16	L		
75.					Buy (add'l)	08/15/16	J		
76. Calvert US Large Cap Core Responsible Index FD	B	Dividend	L	T	Buy	06/03/16	J		
77.					Buy (add'l)	06/09/16	K		
78.					Buy (add'l)	08/15/16	J		
79. DFA US Sustainability Core Port	B	Dividend	M	T	Buy	06/03/16	J		
80.					Buy (add'l)	06/09/16	K		
81.					Buy (add'l)	06/10/16	K		
82.					Buy (add'l)	08/15/16	J		
83. DFA US Social Core Equity 2	B	Dividend	L	T	Buy	06/03/16	J		
84.					Buy (add'l)	06/09/16	L		
85.					Buy (add'l)	08/15/16	J		

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86. DFA Emerging MarketsSocial Core Equity	A	Dividend	K	T	Buy	06/03/16	J		
87.					Buy (add'l)	06/09/16	K		
88. DFA Intl Social Core Equity	C	Dividend	L	T	Buy	06/03/16	J		
89.					Buy (add'l)	06/09/16	L		
90.					Buy (add'l)	06/29/16	J		
91.					Buy (add'l)	07/01/16	J		
92.					Buy (add'l)	08/15/16	J		
93. Franklin High Yield Tax Free Income	B	Int./Div.	K	T	Buy	06/03/16	J		
94.					Buy (add'l)	06/09/16	K		
95.					Buy (add'l)	08/15/16	J		
96.					Buy (add'l)	12/20/16	J		
97.					Sold (part)	12/22/16	K	A	
98. Pimco Emerging Local Bond	B	Int./Div.	K	T	Buy	06/03/16	J		
99.					Buy (add'l)	06/09/16	K		
100.					Buy (add'l)	10/06/16	J		
101.					Sold (part)	12/22/16	J	A	
102. T Rowe Price Summit Muni Intermediate	B	Int./Div.	L	T	Buy	06/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103.					Buy (add'l)	06/09/16	L		
104.					Buy (add'l)	08/15/16	J		
105.					Sold (part)	12/22/16	J	A	
106. Thornberg Intermed Muni Natl inst	B	Int./Div.	L	T	Buy	06/03/16	J		
107.					Buy (add'l)	06/09/16	L		
108.					Buy (add'l)	08/15/16	J		
109. Wells FargoUltra Short Term Muni Income	A	Int./Div.	L	T	Buy	06/03/16	J		
110.					Buy (add'l)	06/09/16	L		
111.					Buy (add'l)	08/15/16	J		
112. ISHARES TR Russell Mid Cap Growth	A	Dividend	K	T	Buy	06/03/16	J		
113.					Buy (add'l)	06/09/16	K		
114.					Buy (add'l)	06/10/16	J		
115.					Buy (add'l)	08/15/16	J		
116. ISHARES TR Russel 2000 Value	A	Dividend	K	T	Buy	06/03/16	J		
117.					Buy (add'l)	06/09/16	K		
118. ISHARES TR MSCI EAFE Small Cap	B	Dividend	K	T	Buy	06/03/16	J		
119.					Buy (add'l)	06/09/16	K		

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	08/15/16	J		
121.					Sold (part)	12/22/16	J	A	
122. SPDR SER TR Dow Jones REIT	B	Dividend	K	T	Buy	06/03/16	J		
123.					Buy (add'l)	06/09/16	K		
124.					Buy (add'l)	09/12/16	J		
125.					Buy (add'l)	10/04/16	J		
126.					Sold (part)	12/22/16	J	A	
127. TIAA Invesrment Cash		None	J	T					
128. TIAA Checking Cash		None	L	T					
129. Wegoma 1974 Associates (X), New York, NY	A	Rent	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII item 32 Trust was created under article fourth of the will of Martin D. Ginsburg (spouse, deceased 6/27/10). Trust was not funded until July 31, 2013.

Part VII item 3 TIAA CREF Retirement accounts. Due to the change in the filing instructions, the aggregate reporting rule was rescinded and therefore the TIAA CREF retirement accounts are detailed on line 4 through 40.

Part VII Item 41 TIAA CREF Mutual Funds. Listed in prior years as single line item.. In current year, listed details on items 42 and 43.

Part VII Item 65 Met Life . Shares were transferred in from the Eatate of Martin D. Ginsburg. (late spouse). Shares subsequently sold.

Part VII Items 67 and 129. Wegoma 1974 Associates a real estate partnership. Partnership interest was transferred on 12/31/16 from Trust u/w Article IV.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ruth B. Ginsburg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544