AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)
Roberts, John G.

2. Court or Organization
Supreme Court of the U.S.

3. Date of Report
05/15/2017

4. Title (Article III judges indicate active or senior status;
magistrate judges indicate full- or part-time)
Chief Justice

5a. Report Type (check appropriate type)
☐ Nomination Date
☐ Initial ☑ Annual ☐ Final

5b. ☐ Amended Report

6. Reporting Period
01/01/2016
to
12/31/2016

7. Chambers or Office Address
One First Street, NE
Washington, DC 20543

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2016	Major, Lindsey & Africa, LLC -- Attorney Search Consultants
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Time Warner Inc. New TWX	D	Dividend	N	T					
2. Hewlett-Packard (Common) HPQ	A	Dividend			Sold	08/29/16	K	D	
3. Hillenbrand (Common) HI	A	Dividend	J	T					
4. Hill-Rom Holdings, Inc.	A	Dividend	K	T					
5. Microsoft (Common) MSFT		None			Sold	01/05/16	N	G	
6. Nokia (Common) NOK	A	Dividend	J	T					
7. Lam Research LRCX	A	Dividend	K	T					
8. Texas Instruments (Common) TXN	D	Dividend	N	T					
9. TMO (Common)	A	Dividend	M	T					
10. Sirius XM Radio (Common)	A	Dividend	M	T					
11. American Century Growth Inv TWCGX	C	Dividend	L	T					
12. MetLife GVUL: Putnam Multi-Cap Growth Fund (see Part VIII)		None	J	T					
13. MetLife GVUL:Putnam Voyager (see Part VIII)		None			Closed	10/19/16	J		
14. Vanguard World Fd Int'l Gr Fund Adm. Shs VWILX	A	Dividend	L	T	Sold (part)	01/07/16	J		
15. Vanguard Sm-Cap Index Fund Admiral VSMAX	B	Dividend	L	T	Sold (part)	01/07/16	J	D	
16. C. Schwab Muni M. Fund SWXXX	A	Dividend	J	T					
17. Wells Fargo bank accounts	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Capital One, Inc. bank accounts		None	O	T					
19. 1/8 int. cottage, Knocklong, Co. Limerick, Ireland	A	Rent	K	W					
20. Utah Educ. Svgs Plan Equity - 10% Int'l	D	Dividend	O	T					
21. Utah Educ. Svgs Plan Age-Based Moderate, 13-15	C	Dividend	M	T					
22. Allegis Group Ret. Plan (H)									
23. -- Vanguard Target Retirement 2020 Trust II		None	L	T					
24. Time Warner Cable TWC merged w Charter Comm. Line 99 (see Part VIII)	B	Dividend			Sold	05/18/16	M	G	
25. Eaton Vance Ser II Income Fund Boston CL 1 EIBIX	C	Dividend	L	T					
26. Eaton Vance Global Macr Absolute Return I EIGMX	D	Dividend	M	T					
27. First Eagle Global SGIIX	D	Dividend	N	T	Buy (add'l)	07/12/16	J		
28.					Buy (add'l)	08/15/16	J		
29.					Buy (add'l)	09/15/16	J		
30.					Buy (add'l)	10/14/16	J		
31.					Buy (add'l)	11/15/16	J		
32.					Buy (add'l)	12/15/16	J		
33. Gabelli Equity Income GCIEX	D	Dividend			Sold (part)	01/07/16	J	B	
34.					Sold	08/08/16	N	E	

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Gabelli Small Cap Growth 1 GACIX	D	Dividend	M	T	Buy (add'l)	07/12/16	J		
36.					Buy (add'l)	08/15/16	J		
37.					Buy (add'l)	09/15/16	J		
38.					Buy (add'l)	10/14/16	J		
39.					Buy (add'l)	11/15/16	J		
40.					Buy (add'l)	12/15/16	J		
41. MFS Corporate Bond I MBDIX	A	Dividend			Sold	01/07/16	M		
42. Virtus Emerging Markets Opps. HIEMX		None			Sold	08/08/16	N	D	
43. T. Rowe Price Real Estate TRREX	B	Dividend	L	T					
44. T. Rowe Price Blue Chip Growth TRBCX	B	Dividend	M	T	Buy (add'l)	07/12/16	J		
45.					Buy (add'l)	08/15/16	J		
46.					Buy (add'l)	09/15/16	J		
47.					Buy (add'l)	10/14/16	J		
48.					Buy (add'l)	11/15/16	J		
49.					Buy (add'l)	12/15/16	J		
50. Wells Fargo Treas. Plus Mon Mkt Fund	A	Dividend	K	T	Buy (add'l)	01/08/16	J		
51.					Sold (part)	01/11/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	01/15/16	J		
53.					Sold (part)	04/08/16	J		
54.					Sold (part)	07/08/16	J		
55.					Buy (add'l)	07/12/16	J		
56.					Sold (part)	07/18/16	J		
57.					Buy (add'l)	08/09/16	J		
58.					Buy (add'l)	08/15/16	J		
59.					Buy (add'l)	09/15/16	J		
60.					Sold (part)	10/14/16	J		
61.					Buy (add'l)	10/14/16	J		
62.					Buy (add'l)	11/15/16	J		
63.					Buy (add'l)	12/15/16	J		
64. Wells Fargo Absolute Return Fund	C	Dividend	N	T	Buy (add'l)	07/12/16	J		
65.					Buy (add'l)	08/15/16	J		
66.					Buy (add'l)	09/15/16	J		
67.					Buy (add'l)	10/17/16	J		
68.					Buy (add'l)	11/15/16	J		

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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69.					Buy (add'l)	12/16/16	J		
70. AQR FDS Mgd. Futures Strategy AQMIX	A	Dividend	L	T					
71. Dodge & Cox FDS International Stock DODFX	D	Dividend	N	T	Buy (add'l)	01/08/16	J		
72. BlackRock Emerging Mkt L/S Eq Instl BLSIX		None	K	T					
73. TIME, Inc. TIME	A	Dividend	J	T					
74. Jane Brain, LLC									
75. -- Empowered Learning Institute of DC, LLC		None	K	W					
76. Principal MidCap Institutional PCBIX	D	Dividend	N	T	Buy (add'l)	07/12/16	J		
77.					Buy (add'l)	08/15/16	J		
78.					Buy (add'l)	09/15/16	J		
79.					Buy (add'l)	10/14/16	J		
80.					Buy (add'l)	11/15/16	J		
81.					Buy (add'l)	12/15/16	J		
82. Prudential Jennison PNRZX (X)	B	Dividend	L	T					
83. Natixis Funds Trust II ASG Global Altern Y GAFYX		None	L	T	Buy (add'l)	01/08/16	K		
84. Hewlett Packard Ente HPE	A	Dividend			Sold	08/29/16	K	E	
85. Dodge & Cox Stock DODGX	D	Dividend	N	T	Buy	07/12/16	J		

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(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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86.					Buy (add'l)	08/09/16	N		
87.					Buy (add'l)	08/15/16	J		
88.					Buy (add'l)	09/15/16	J		
89.					Buy (add'l)	10/14/16	J		
90.					Buy (add'l)	11/15/16	J		
91.					Buy (add'l)	12/15/16	J		
92. Oppenheimer Developing Markets ODVYX	B	Dividend	N	T	Buy	07/12/16	J		
93.					Buy (add'l)	08/09/16	N		
94.					Buy (add'l)	08/15/16	J		
95.					Buy (add'l)	09/15/16	J		
96.					Buy (add'l)	10/14/16	J		
97.					Buy (add'l)	11/15/16	J		
98.					Buy (add'l)	12/15/16	J		
99. Charter Communications CHTR Line 24 (see Part VIII)		None	M	T	Merged (with line 24)	05/18/16	M		
100. Utah Educ. Svgs Plan Individual Fixed Income (X)	B	Dividend	M	T	Buy	03/30/16	L		
101. Thornburg Ltd-Term Muni Inst LTMIX	A	Dividend	J	T	Buy	07/12/16	J		
102.					Buy (add'l)	08/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103.					Buy (add'l)	09/15/16	J		
104.					Buy (add'l)	10/14/16	J		
105.					Buy (add'l)	11/15/16	J		
106.					Buy (add'l)	12/15/16	J		
107. Tweedy Brown Global Value Fund TBGVX	A	Dividend	J	T	Buy	07/12/16	J		
108.					Buy (add'l)	08/15/16	J		
109.					Buy (add'l)	09/15/16	J		
110.					Buy (add'l)	10/14/16	J		
111.					Buy (add'l)	11/15/16	J		
112.					Buy (add'l)	12/15/16	J		
113. T Rowe Price Short-Term Bond	B	Dividend	M	T	Buy	01/08/16	M		
114. Vanguard Div. Growth Fd Investor Shares VDIGX (X)	D	Dividend	O	T	Buy	03/01/16	N		
115.					Buy (add'l)	09/06/16	K		
116. Gateway Fund GTEYX (X)	A	Dividend	K	T	Buy	07/12/16	J		
117.					Buy (add'l)	08/15/16	J		
118.					Buy (add'l)	09/15/16	J		
119.					Buy (add'l)	10/14/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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120.					Buy (add'l)	11/15/16	J		
121.					Buy (add'l)	12/15/16	J		
122. Vanguard Total Stk Mkt Index Fd Adm Shs VTSAX (X)	A	Dividend	J	T	Buy	07/12/16	J		
123.					Buy (add'l)	08/15/16	J		
124.					Buy (add'l)	09/15/16	J		
125.					Buy (add'l)	10/14/16	J		
126.					Buy (add'l)	11/15/16	J		
127.					Buy (add'l)	12/15/16	J		
128. Wells Fargo Custod. Roth IRA (X)		None	J	T	Buy	12/20/16	J		
129. MetLife GVUL: Deutsche Govt. Money Mkt VIP (X) (see Part VIII)		None	J	T					
130. MetLife GVUL Put.Gro. Opps. assumed Put.Voyag. assets (see Part VIII)		None	J	T	Merged (with line 13)	5/16/16	J		
131.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 12. In prior report, MetLife GVUL: Deutsche Government Money Market VIP fund value was included at line 12, but the fund was not identified.

Line 13. On October 19, 2016, Putnam Voyager Fund closed and its assets were transferred to Putnam Growth Opportunities Fund.

Line 24. On May 18, 2016, Charter Communications, Inc. announced that it closed its previously announced transactions whereby Legacy Charter, the previous public company, has combined with Time Warner Cable Inc. ("TWC"). This transaction forced the conversion of TWC shares into Charter Communications, Inc. shares.

Line 99. This entry reflects the Charter Communications, Inc. shares which filer received via the TWC/Charter Communications, Inc. merger on May 18, 2016.

Line 129. In prior report, MetLife GVUL: Deutsche Government Money Market VIP fund value was included at line 12. This entry provides the fund's identity.

Line 130. This entry reflects the result of the merger of Putnam Voyager Fund and Putnam Growth Opportunities Fund.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John G. Roberts**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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